



CHRB-20 (REV. 11/01)

State of California
California Horse Racing Board
Investigation Division
INVESTIGATIONS FILE

CASE NUMBER: 19DM0022

REFER TO:

DATE: 08-05-2019	INVESTIGATOR: Angelica Merz	VIOLATION CODES(TOPIC): : 1843(a)(b)&(d) Medication, Drugs, & Other Substances 1843.1(a) Prohibited Drug Substances, and 1887(a) Trainer Responsibility
COMPLAINANT/INFORMANT(LN,FN,MN):	CHRB NUMBER, EXP YR:	<input type="checkbox"/> SEE REPORT FOR MORE PERSONS
SUBJECT OF INVESTIGATION(LN,FN,MN): Baffert, Robert	CHRB NUMBER, EXP YR: 087698-01/2022	<input type="checkbox"/> SEE REPORT FOR MORE PERSONS

SUMMARY: The official blood sample #DM15121 taken on July 27, 2019, was reported by Ben Moeller of the Maddy EACL at UC Davis to contain Phenylbutazone (510 ng/ML). This sample was obtained from the horse "Cruel Intention" which ran in the 3rd race at Del Mar finishing 3rd. The trainer of record is Robert Baffert. A split analysis was requested in accordance to 1859.25. This violation is a Class 4 drug with a penalty category Class C. On 11-16-2019, DFTD#016 "Fined \$500.00".	<input checked="" type="checkbox"/> FORMAL REPORT	<input type="checkbox"/> NO FORMAL REPORT ATTACHMENTS ONLY
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DATE COMPLETED: 11-16-2019	<input checked="" type="checkbox"/> ADMIN FILING	<input type="checkbox"/> CRIMINAL FILING	<input type="checkbox"/> UNFOUNDED	<input type="checkbox"/> COMPLAINT WITHDRAWN	<input type="checkbox"/> INFO ONLY
HEARING WITH BOS SET FOR (DT/TM): 11-16-19 @ 9:30	TRACK: Del Mar	VIOLATION CODES: 1843(a)(b)&(d), 1843.1(a), and 1887(a)			
CRIMINAL FILING DATE:	COURT:	ACTION NUMBER:	ARRAIGNMENT DATE:		
<input type="checkbox"/> FELONY	<input type="checkbox"/> MISD	VIOLATION CODES:			

COPIES TO / DATE: <input type="checkbox"/> B.O.S. / <input type="checkbox"/> DEFT. /	<input type="checkbox"/> A.H.U. / <input type="checkbox"/> D. A. / <input type="checkbox"/> S. VET /	<input type="checkbox"/> OTH. / <input type="checkbox"/> OTH. / <input type="checkbox"/> OTH. /
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DISPOSITION/COMMENTS: DFTD#016-Fine \$500.00

COMPUTER ENTRIES(DATE/BY WHOM): <input checked="" type="checkbox"/> RULING ADD: 11-24-19 AM <input checked="" type="checkbox"/> CASE ADD: 11-24-19 AM	<input type="checkbox"/> STATUS CODE: <input type="checkbox"/> FINE BOOK ENTRY:		
CASE DEACTIVATED BY: ANGELICA MERZ	DATE: 11-24-19	APPROVED BY: Phillip Miyazaki	DATE: 11-27-19

COMPLAINT

CASE NUMBER: 19DM0022

The CHRB hereby files a complaint against **Baffert, Robert** for violation of CHRB Rule 1843(a)(b)&(d), 1843.1(a), and 1887(a). The person named in this complaint holds a license as a **TRAINER**, license number 087698-01/2022. The offense alleged occurred on or about ^{Wrong date} ~~August, 05 2019~~ and ^{July 27, 2019} is as follows:

The official blood sample #DM15121 taken on July 27, 2019, was reported by Ben Moeller of the Maddy EACL at UC Davis to contain Phenylbutazone (510 ng/ML). This sample was obtained from the horse "Cruel Intention" which ran in the 3rd race at Del Mar finishing 3rd. The trainer of record is Robert Baffert. A split analysis at Texas Veterinary Medical Diagnostic Laboratory confirmed the violation. This violation is a Class 4 drug with a penalty category Class C.

Pursuant to Business and Professions Code Section 19420, 19440, and CHRB Rules, the stewards are empowered to impose penalties for violation of any provision of the CHRB Rules. Such penalties may consist of suspension of any license, fines, and exclusion from all racing enclosures under the jurisdiction of the Board or by any combination of these penalties.

Date :08/08/2019

Complainant :CHRB

NOTICE TO APPEAR

To **BAFFERT, ROBERT** you are hereby notified to appear before the Board of Stewards at the below listed location, date, and time.

Location: Santa Anita

Date: October 19, 2019

Time: 11:00

You are entitled to reasonable notice of the hearing and reasonable time to prepare for the hearing. If you need additional time to prepare for the hearing, you must contact the Board of Stewards within 72 hours of receipt of this notice. All requests for a continuance must be in writing. You should be prepared to justify your request for additional time. Granting or denial of a request for additional time is within the sound discretion of the stewards. The hearing will be conducted in accordance with the GOVERNING PROCEDURES FOR HEARING BEFORE THE BOARD OF STEWARDS, a copy of which is attached to this Complaint and Notice to Appear.

Investigator

A. MEEZ

Date

9-29-2019

Rick M
Arthur,
DVM

Digitally signed
by Rick M
Arthur, DVM
Date:
2019.09.29
12:37:00 -07'00'

COPY

☒ APPROVED

10-01-19

DISPOSITION OF CASE

The stewards have taken the following action on CASE NUMBER: 19DM0022

Ruling #16
Rule 1843(A)(D)
Rule 1843(1)
\$500.00 Fine
Phenylbutazone (Cls 4)

Grant W. Baker

Steward

11/23/19
Date

[Signature]

Steward

11/23/19
Date

Kim Saurin

Steward

11-23-19
Date

California Horse Racing Board

1010 Hurley Way, Suite 300
Sacramento, CA 95825
(916) 263 – 6000
(916) 263 – 6042 Fax

**Official Ruling**

of the
Board of Stewards

Del Mar Thoroughbred Club

(Association)

November 23, 2019

(Date)

DFTD #016

Trainer ROBERT BAFFERT, who started the horse CRUEL INTENTION, third place finisher in the third race at Del Mar Race Track on July 27, 2019, is fined FIVE HUNDRED DOLLARS (\$500.00) pursuant to #1887 (Trainer or Owner to Insure Condition of Horse) for violation of California Horse Racing Board rule #1843(a)(b)(d) (Medication, Drugs and Other Substances), for violation of #1843.1 (Prohibited Drug Substances – Phenylbutazone (Class 4)).

*Rule #1532. Fine shall be paid to the Paymaster within seven calendar days from the date of this ruling, or the license of the person upon whom the fine has been imposed shall be suspended.

DOB: [REDACTED]
LIC: #087698 exp. 01/2022
CASE: #19DM0022

Grant W Baker Steward
Paul J. J. J. J. Steward
Kim S. S. Steward

**GOVERNING PROCEDURE FOR DISCIPLINARY HEARING
BEFORE BOARD OF STEWARDS**

1. The CHRB will provide you notice and an opportunity to be heard, including the opportunity to present and rebut evidence. (Government Code § 11425.10(a)(1))
2. Every person who is called to testify before the stewards is entitled to have counsel or an observer of his choosing present at the hearing; provided, however, that such counsel or observer shall only participate under such conditions or in such manner as the stewards may direct. (4 CCR 1539)
3. The hearing shall be open to the public as provided in Government Code § 11425.20, which states:
 - (a) Nothing in this subdivision limits the authority of the presiding officer to order closure of a hearing or make other protective orders to the extent necessary or proper for any of the following purposes:
 - (1) To satisfy the United States Constitution, the California Constitution, federal or state statute, or other law, including but not limited to laws protecting privileged, confidential, or other protected information.
 - (2) To ensure a fair hearing in the circumstances of the particular case.
 - (3) To conduct the hearing, including the manner of examining witnesses, in a way that is appropriate to protect a minor witness or a witness with a developmental disability, as defined in Section 4512 of the Welfare and Institutions Code, from intimidation or other harm, taking into account the rights of all persons.
 - (b) To the extent a hearing is conducted by telephone, television, or other electronic means, subdivision (a) is satisfied if members of the public have an opportunity to do both of the following:
 - (1) At reasonable times, hear or inspect the agency's record, and inspect any transcript obtained by the agency.
 - (2) Be physically present at the place where the presiding officer is conducting the hearing.
 - (c) This section does not apply to a prehearing conference, settlement conference, or proceedings for alternative dispute resolution other than binding arbitration.
4. The presiding officer is subject to disqualification for bias, prejudice, or interest as provided in Government Code § 11425.40, which states:
 - (a) The presiding officer is subject to disqualification for bias, prejudice, or interest in the proceeding.
 - (b) It is not alone or in itself grounds for disqualification, without further evidence of bias, prejudice, or interest, that the presiding officer:
 - (1) Is or is not a member of a racial, ethnic, religious, sexual, or similar group and the proceeding involves the rights of that group.
 - (2) Has experience, technical competence, or specialized knowledge of, or has in any capacity expressed a view on, a legal, factual, or policy issue presented in the proceeding.
 - (3) Has as a lawyer or public official participated in the drafting of laws or regulations or in the effort to pass or defeat laws or regulations, the meaning, effect, or application of which is in issue in the proceeding.
 - (c) The provisions of this section governing disqualification of the presiding officer also govern disqualification of the agency head or other person or body to which the power to hear or decide in the proceeding is delegated.

- (d) An agency that conducts an adjudicative proceeding may provide by regulation for peremptory challenge of the presiding officer.
5. Ex parte communications shall be restricted as provided in Government Code §§ 11430.10 through 11430.80, which states in part:
- (a) While the proceeding is pending there shall be no communication, direct or indirect, regarding any issue in the proceeding, to the presiding officer from an employee or representative of an agency that is a party or from an interested person outside the agency, without notice and opportunity for all parties to participate in the communication.
 - (b) Nothing in this section precludes a communication, including a communication from an employee or representative of an agency that is a party, made on the record at the hearing.
 - (c) For the purpose of this section, a proceeding is pending from the issuance of the agency's pleading, or from an application for an agency decision, whichever is earlier.
(Government Code § 114230.10)
6. The board, its executive director, or the stewards, may issue subpoenas for the attendance of witnesses or the production of any records, books, memoranda, documents, or other papers or things, as is necessary to enable any of them to effectually discharge their duties, and may administer oaths or affirmations as necessary in connection therewith. (Business and Professions Code § 19435)
7. The stewards may refer any matter within their jurisdiction to the Board when the penalty the stewards have jurisdiction to impose is insufficient when a hearing cannot be held under the conditions or in the manner prescribed, when a hearing is impractical due to conclusion of the meeting, or for other good and sufficient cause, and they may order the suspension of the licensee pending further Order of the Board. In such event, the Board shall accept the matter for hearing and adjudication or such other action as the Board deems to be in the best interests of justice. (4 CCR 1529)
8. A verbatim record shall be made of all hearings before the stewards in any matter other than those relating solely to riding infractions where the penalty imposed by the stewards is ten (10) days or less suspension, or those relating to license application recommendations. Notwithstanding the previous sentence, and at the stewards' discretion, the racing association shall provide either a certified court reporter or electronic recording equipment to record all hearings. Such recording equipment shall include, but not be limited to, tape recorder(s), at least three (3) microphones and a sufficient supply of recording tapes. The cost of such reporter and recording equipment shall be assumed by the racing association conducting the racing meeting. The taped recording(s) shall be stored and maintained by the Board for a minimum of sixty (60) days after the initial hearing or in the event an appeal is filed, a minimum of sixty (60) days after an appeal is heard and decided by the Board. Upon the request of the Executive Director or his/her designee, the racing association shall furnish an original and two copies of the hearing transcript to the Executive Director. (4 CCR 1537)
9. The decision shall be in writing, be based on the record, and include a statement of the factual and legal basis of the decision as provided in Government Code section 11425.50. (Government Code § 11425.10(a)(6))
10. From every decision of the stewards, except a decision concerning the disqualification of a horse due to a foul or a riding or driving infraction, an appeal may be made to the Board. Appeals shall be made in writing, stating the reason or reasons for the appeal, and shall be signed by the appellant, appellant's attorney, or appellant's representative. Appeals shall be received by a Board employee at any of its offices, not later than seventy-two (72) hours from the date of the decision of the stewards unless the Board for

good cause extends the time for filing. An appeal shall not affect a decision of the stewards until the appeal has been sustained or dismissed or a stay order issued by the Chairman. (4 CCR 1761)

11. The Board shall notify the appellant, the stewards and all licensees or other persons affected by decision under appeal of the date, time and location of its hearing in the matter. The burden shall be on the appellant to prove the facts necessary to sustain the appeal. (4 CCR 1764)
12. The Board, upon due consideration, may overrule any steward's decision other than a decision to disqualify a horse due to a foul or a riding or driving infraction in a race, if a preponderance of the evidence indicates any of the following:
 - (1) The steward mistakenly interpreted the law.
 - (2) New evidence of a convincing nature is produced.
 - (3) The best interests of racing and the state may be better served.However, any decision pertaining to the finish of a race, as used for purposes of parimutuel fund distribution to winning ticketholders, may not be overruled. Furthermore, any decision pertaining to the distribution of purses may be changed only if a claim is made in writing to the board by one of the involved owners or trainers, and a preponderance of the evidence clearly indicates to the board that one or more of the grounds for protest, as outlined in regulations adopted by the board, has been substantiated. The chairperson of the board may issue a stay of execution pending appeal from a steward's decision if the facts justify the action. (Business and Professions Code § 19517)
13. The Board shall issue its decision upon any appeal in writing and such decision is subject to review by the court having jurisdiction. (4 CCR 1763)
14. Chapter 5 of Part 1 of Division 3 of Title 2 of the Government Code is not applicable to this proceeding.

DRUG DESCRIPTION

Phenylbutazone (Bute)

Phenylbutazone is a nonsteroidal anti-inflammatory drug (NSAID) and cyclooxygenase inhibitor. It is a potent pain reliever, antipyretic, and anti-inflammatory. In the horse, it is used commonly for lameness, resulting from soft-tissue injury, muscle soreness, bone and joint problems, and laminitis. NSAIDs work by inhibiting the body's production of prostaglandins, thromboxane, and other inflammatory mediators. Some of these actions may be dose-dependent. Phenylbutazone can be given intravenously or orally; pain relief and fever reduction usually starts within one to two hours.

California Code of Regulations

Rules and Regulations

Title 4, Division 4

Article 15

§1843(a)(d) - Medication, Drugs and Other Substances

(a) No horse participating in a race shall carry in its body any drug substance or its metabolites or analogues, foreign to the horse except as hereinafter expressly provided.

(d) A finding by an official chemist that a test sample taken from a horse contains a drug substance or its metabolites or analogues which has not been approved by the Board, or a finding of more than one approved non-steroidal, anti-inflammatory drug substance or a finding of a drug substance in excess of the limits established by the Board for its use shall be prima facie evidence that the trainer and his/her agents responsible for the care for the horse has/have been negligent in the care of the horse and is prima facie evidence that the drug substance has been administered to the horse.

§1843.1 (b) - Prohibited Drug Substances

For purposes of this division, prohibited drug substance means:

(b) any drug, substance, medication or chemical authorized by this article in excess of the authorized level or other restrictions as set forth in this article.

§1844(c) - Authorized Medication

Consistent with the intent of these rules, drug substances and medications authorized by the Board for use may be administered to safeguard the health of the horse entered to race provided that:

- (c) Not more than one approved non-steroidal anti-inflammatory drug substance (NSAID) may be administered to a horse that is entered to race and shall be only one of the following authorized drug substances:
 - (1) Phenylbutazone in a dosage amount that the test sample shall contain not more than 2 micrograms of the drug substance per milliliter of blood plasma or serum

§1887(a) - Trainer or Owner to Insure Condition of Horse

- (a) The trainer is the absolute insurer of and responsible for the condition of the horses entered in a race, regardless of the acts of third parties, except as otherwise provided in this article. If the chemical or other analysis of urine or blood test samples or other tests, prove positive showing the presence of any prohibited drug substance defined in Rule 1843.1 of this division, the trainer of the horse may be fined, his/her license suspended or revoked, or be ruled off. In addition, the owner of the horse, foreman in charge of the horse, groom, and any other person shown to have had the care or attendance of the horse, may be fined, his/her license suspended, revoked, or be ruled off. The owner of a ship-in horse is the joint-absolute insurer of and is equally responsible for the condition of the horse entered in a race.



State of California
California Horse Racing Board

REPORT of INVESTIGATION

FILE NUMBER: 19DM0022

FILE TITLE:
BAFFERT,BOB

CHRB-209 (NEW 12/07)

DATE: 08-08-2019	INVESTIGATOR: Merz, Angelica
TRACK/LOCATION: DEL MAR	OTHER OFFICERS:
REPORT RE: Medication Violation	

INVESTIGATION:

On August 05, 2019, I received this medication violation report. The official blood sample #DM15121 taken on July 27, 2019, was reported by Ben Moeller of the Maddy EACL at UC Davis to contain Phenylbutazone (510 ng/ML). This sample was obtained from the horse "Cruel Intention" which ran in the 3rd race at Del Mar finishing 3rd. The trainer of record is Robert Baffert. A split analysis was requested in accordance to 1859.25. This violation is a Class 4 drug with a penalty category Class C.

On August 08, 2019, Baffert came into the CHRB Office and signed the Positive Test Notification (PTN) document. Baffert requested for a split sample to be tested. Baffert also stated that he did not know why the horse Cruel Intention tested positive for Bute.

On August 09, 2019, I faxed the signed PTN to Deborah Dugally. I also picked up the employee worklist from Del Mar Security.

On August 10, 2019 at 1500 hours, I conducted a barn inspection at Baffert's barn FF 21-40 & GG 1-21. He has 50 horses & 12 pens. Shed row and feed bins were in good condition. He has 30 employees. There is a night watchman. They have expired medications and those that were up to date were properly labeled. There is no lock on the medication cabinet, however the door has lock capabilities. I did locate Phenylbutazone in paste form in the medication cabinet. Picture attached to report.

I also received copies of Dr. Baker's Confidential from Vicki Conk at the Del Mar receiving barn. The confidentials indicated that Cruel Intention received Bute and IM Adequan which Dr. Baker administered on July, 25, 2019 at approximately 0930 hours. A second Confidential indicated that Dr. Katie Sullivan administered Lasix to Cruel Intention on July 27, 2019 at 1053 hours. No other medication was found to be administered.

I also spoke to the Veterinarian Dr. Vince Baker. Dr. Baker stated he is the only Veterinarian that gives Bute to the horses. Dr. Baker said he gives Bute by syringe only and does not know how Cruel Intention tested positive for Bute. Dr. Baker said he treated three (3) of Baffert's horses at the same time with Bute and does not know why only one horse (Cruel Intention) tested positive for it.

On September 05, 2019, I received the split sample positive letter from the Texas A&M Veterinarian Medical Diagnostic Laboratory (TVMDL) confirming Phenylbutazone was detected in the sample (380 + 90 nanograms per milliliter).

On September 14, 2019 at 0800 hours, I provided the 1844C Report to Dr. Grande.

I received the 1844C report back from Dr. Grande with his recommendations.

The CHRIS history for the last (3) years indicated there was (2) class 4 medication violations.

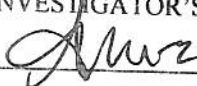
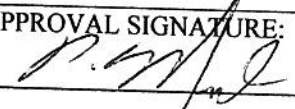
Baffert has had 1844(c) violations within thirty-six (36) months. On October 22, 2017, ruling#LATC028 was issued on case#17LT0003. Baffert was fined \$1,000.00 for Phenylbutazone (3.19 ug/ml). On January 15, 2017, ruling#LATS030 was issued on case#16SA0243. Bafert was fined \$250.00 for Phenylbutazone (2.69).

Baffert is in violation of the following CHRB Rules:

1843(a,b, &d)- Medication, Drugs, and other Substances

1843.1(a)- Prohibited Drug Substances

1887- Trainer to Insure Condition of Horse

INVESTIGATOR'S NAME: A. MERZ		APPROVED BY (SUPERVISING INVESTIGATOR'S NAME): MIYAZAKI, PHILLIP	
INVESTIGATOR'S SIGNATURE: 	DATE: 9-29-19	APPROVAL SIGNATURE: 	DATE: 10-01-19



State of California
California Horse Racing Board
Investigation Division
INVESTIGATIONS FILE

CASE NUMBER: 19DM0023

REFER TO:

CHRB-20 (REV. 11/01)

DATE: 08/10/2019	INVESTIGATOR: A.MERZ	VIOLATION CODES(TOPIC): : 1530-Barn Inspections	
COMPLAINANT/INFORMANT(LN, FN, MN): CHRB,		CHRB NUMBER, EXP YR:	<input type="checkbox"/> SEE REPORT FOR MORE PERSONS
SUBJECT OF INVESTIGATION(LN, FN, MN): Baffert, Robert		CHRB NUMBER, EXP YR: 087698-01/2022	<input type="checkbox"/> SEE REPORT FOR MORE PERSONS

SUMMARY:

19DM0022/Investigators conducted a barn inspection of Trainer Baffert's barn FF 21-40 & GG 1-21. He has 50 horses & 12 pens. Shed row and feed bins were in good condition. He has 30 employees. There is a night watchman. They have expired medications and those that were up to date were properly labeled. There is no lock and the medication cabinet is unsecured.

☐ FORMAL
REPORT

☒ NO FORMAL
REPORT
ATTACHMENTS ONLY

DATE COMPLETED: 08/10/2019	<input type="checkbox"/> ADMIN FILING	<input type="checkbox"/> CRIMINAL FILING	<input type="checkbox"/> UNFOUNDED	<input type="checkbox"/> COMPLAINT WITHDRAWN	<input checked="" type="checkbox"/> INFO ONLY
HEARING WITH BOS SET FOR (DT/TM):		TRACK:	VIOLATION CODES:		
CRIMINAL FILING DATE:		COURT:	ACTION NUMBER:	ARRAIGNMENT DATE:	
<input type="checkbox"/> FELONY	<input type="checkbox"/> MISD	VIOLATION CODES:			
COPIES TO / DATE:		<input type="checkbox"/> A.H.U. /	<input type="checkbox"/> OTH. /		
<input type="checkbox"/> B.O.S. /		<input type="checkbox"/> D.A. /	<input type="checkbox"/> OTH. /		
<input type="checkbox"/> DEFT. /		<input type="checkbox"/> S. VET /	<input type="checkbox"/> OTH. /		

DISPOSITION/COMMENTS:
No violations

COMPUTER ENTRIES(DATE/BY WHOM):

☒ RULING ADD: 08/10/19 A.M.
☒ CASE ADD: 08/10/19 A.M.

☐ STATUS CODE:
☐ FINE BOOK ENTRY:

CASE DEACTIVATED BY:
A.Merz

DATE:
08/10/2019

APPROVED BY:

[Signature] FOR R. MIYAZAKI

DATE:

8/10/19

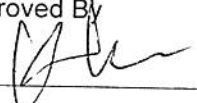
INSPECTION REPORT

Trainer Name (Print) Baffert, Robert			Inspection Time 1500	Date 08/09/2019
			CHRB License Number 087698-01/2022	Case No. 19DM0023
Race Track Location Del Mar			Barn Number	
Subject(s) Involved Baffert, Robert			License Number(s) 087698-01/2022	
Barnes, James			089831-09/2020	
See attached worklist				

An unannounced inspection was performed at the above listed location, date and time, in accordance with Board Rule 1929, EXAMINATION OF PERSONAL EFFECTS.

FINDINGS:

19DM0023/ Investigators conducted a barn inspection of Trainer Baffert's barn FF 21-40 & GG 1-21. He has 50 horses & 12 pens. Shed row and feed bins were in good condition. He has 30 employees. There is a night watchman. They have expired medications and those that were up to date were properly labeled. There is no lock and the medication cabinet is open and unsecured.

Issuing Officers Name A. Merz	Title INVESTIGATOR
Approved By  FR DWYAN	Date Approved 9/10/19

Barn Inspection Report

CASE NO:
 19DM0023

Medications

Under lock and key

YES

NO

Properly labeled

How many people have access to barn? : 30

How many people have access to medication? :

Names:

Trainer, Grooms, exercise riders, & Vet

Names:

All personnel

Security

YES

NO

References obtained prior to hiring

Nightwatch person employed

Office situated for high visibility

Employees at barn 24 hours

No visitor or similar signs posted

New employees drug tested

COMMENT

No violation

Application for Credentials for Stable Employees

(Grooms, Ex-Riders, Hot Walkers, Pony Riders, Foremen, Watchman, Etc.)

To: All Trainers

Will you please complete this form and return it to the Stable Office as soon as possible so that windshield stickers and admission credentials may be issued to your employees.

The California Horse Racing Board requires all Trainers to furnish an accurate list of all employees and to report immediately any terminations or changes.

The Board of Stewards requires all Trainers or Authorized Stable Representatives to report to the Stable Office any change in personnel and to pick up and turn in to Stable Office the admission credentials of terminated employees.

(PLEASE TYPE OR PRINT CLEARLY)

Employee Name	C.H.R.B.	Validated	Job Classification	Date Terminated
JIM BARNES			ASSISTANT	
ROBERTO RIVERO			ASSISTANT	
MATTHEW MIX SAGGON			FOREMAN	
MANNY DANIEL			EX. RIDER	
MANNY AVILA				
JOSH METZ				
HUMBERTO GOMEZ				
JOSE CONDOMINAS				
KIM MCCALM			PONY PERSON	
MARIO RAMIREZ			GROOM	
RODOLFO SILVA VILA				
ENRIQUE ALFONSO			PONY/EX. RIDER	
JOE DE JESUS SILVA			GROOM	
ROMAN LANDERLOS				
ROBERTO CRUZ				
ESTEBAN GARCIA				
SILVESTRE GARCIA				
JOHN G. GARCIA				

ASSISTANT TRAINER'S NAME & PHONE NUMBER

JIM BARNES

[REDACTED]

FOREMAN'S NAME & PHONE NUMBER

ROBERTO RIVERO

IN CASE OF AN EMERGENCY WITH YOUR HORSES PLEASE PROVIDE VETERINARIAN NAME & PHONE NUMBER.

DR VINCE [REDACTED]

Number of Horses

1B+5

Barn Number

I CERTIFY THAT THE ABOVE IS CORRECT

TRAINER'S NAME

Sign:

Print:

BUB BARNES

[REDACTED]

STREET ADDRESS

PHONE NUMBER

[REDACTED]

ASSISTANT

1

Application for Credentials for Stable Employees

(Grooms, Ex-Riders, Hot Walkers, Pony Riders, Foremen, Watchman, Etc.)

To: All Trainers

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The Board of Stewards requires all Trainers or Authorized Stable Representatives to report to the Stable Office any change in personnel and to pick up and turn in to Stable Office the admission credentials of terminated employees.

(PLEASE TYPE OR PRINT CLEARLY)

Employee Name	C.H.R.B.	Validated	Job Classification	Date Terminated
MIGUEL CAMPUZANO			Groom	
ROBERTO LUNA			↓	
HUGUSTIN LACA			EX. RIDER	
EDUARDO LUNA	272364	9/12/19	Groom	
SOLVIN NAVAS LOPEZ			↓	
WILFRED RODRIGUEZ			↓	
FELIXIANO C. SARRAZAL			↓	
BENJAMIN HERNANDEZ			↓	
SIN. A. ALICIA			HOT WALKER	
GLORIA AMBELIZ			↓	
FRANCISCO A. MABANA			Groom	
MINELOA CAMPUSANO			HOT WALKER	
JAMIE GALINDO			Groom	
ARIEL GOMEZ			HOT WALKER	
LEONARDO HERNANDEZ			↓	
FLOR LUNA			↓	
MILTRIA CRANTES			↓	
ESUADE CAJAL			↓	

ASSISTANT TRAINER'S NAME & PHONE NUMBER

I CERTIFY THAT THE ABOVE IS CORRECT

TRAINER'S NAME

Sign:

Print:

Present

FOREMAN'S NAME & PHONE NUMBER

Number of Horses

STREET ADDRESS

IN CASE OF AN EMERGENCY WITH YOUR HORSES PLEASE PROVIDE VETERINARIAN NAME & PHONE NUMBER.

PHONE NUMBER

Barn Number

Present

2

Application for Credentials for Stable Employees

To: All Trainers

The Board of Stewards requires all Trainers or Authorized Stable Representatives to report to the Stable Office any change in personnel and to pick up and turn in to Stable Office the admission credentials of terminated employees.

[illegible]

ANIMAL HEALTH

NDC #11695-4851-1

AMATEC PHARMACEUTICALS, INC. , FDA

BUTAPASTE™

Phenylbutazone Paste for Horses

FOR VETERINARY USE ONLY

APPLE FLAVOR

Each syringe contains 20 g phenylbutazone.

Each unit of the plunger contains 1 g.

DEL'S



Always A Winner!

- Taco Bar
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website

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Mark Bet Slips South Track
\$1 Exacta / \$2 Quinella / 50 cent Trifecta
\$2 Rolling Double / 50 cent min. Rolling Pick Three
\$2 WPS Parlay



3rd

Approx. Post 3:05PM

Win	Place	Show

Real Good Deal Stakes
\$150,000 Guaranteed

STAKES FOR GOLDEN STATE SERIES ELIGIBLE CALIFORNIA BRED OR CALIFORNIA SIRE
THREE-YEAR-OLDS. By subscription of \$150 each, which shall accompany the nomination,
closed with 14, or by supplementary nomination of \$1,500 each (non-Golden State Series eligible
horses, \$25,000 to supplement -- which will make that horse eligible to all future Golden State
Series Stakes) by closing time of entries. All horses to pay \$2,250 additional to start, with \$150,000
Guaranteed, of which \$85,500 to first, \$28,500 to second, \$18,000 to third, \$9,000 to fourth, \$6,000
to fifth, \$3,000 to sixth. Weight 123 lbs.; Non-winners of \$30,000 twice allowed 3 lbs.; of \$20,000
since March 1, 5 lbs. (Maiden and claiming races not considered.) Grade I winners to carry 127 lbs.
Grade II winners to carry 125 lbs. (regardless of allowances) A trophy will be presented to the
winning owner: **Seven Furlongs.**

Track Record: Solar Launch 122 lbs. 3 y.o. 8-10-90 1:20

1	Nick Alexander White, blue yoke, orange and white "MM" on front, white bars on blue sleeves, white and blue cap Lieutenant Dan 3y.o. B. g (CA) by Grazen - Excusabull (Indian Charlie) Bred in California by Nick Alexander	Steven Miyadi 2
2	LNJ Foxwoods, Jungle Racing LLC or Nexus Racing Bob Baffert (J. Barnes) Club Royal blue, gold v-sash, gold stars on sleeves, gold cap Crüel Intention 3y.o. B. c (CA) by Smiling Tiger - Perfect Feat (Pleasantly Perfect) Bred in California by Premier Thoroughbreds LLC	Drayden Van Dyke L 120 6/5
3	Reddam Racing, LLC White, purple hoop, white bar on purple sleeves, purple cap Prodigal Son 3y.o. B. r (CA) by Square Eddie - Stretchinthe limits (In Excess (IRE)) Bred in California by Reddam Racing, LLC	Doug O'Neill (L. Mora) 4
4	William R. Peeples Yellow and light blue diamonds, light blue diamond stripe on yellow sleeves, yellow cap Oliver 3y.o. Dk B/ Br. c (CA) by Papa Clem - Snobby Princess (Premiership) Bred in California by Thomas W Bachman	Mario Gutierrez L 120 12
5	Seltzer Thoroughbreds Black, yellow diamond hoop, black "MLS" on back, yellow bars on sleeves, black cap Posterize 3y.o. B. g (CA) by Shackleford - Southern Oaks (Vindication) Bred in California by Richard Barton Enterprises	Ian Kruljac 4
		Flavien Prat L 120

L denotes Lasix. O denotes horses using lasix that did not in their last start. * denotes California bred.
* - Denotes Keeneland Sales Graduate
* - denotes Golden State Series eligible.
07/27/2019 Race 3

DEL MAR - July 27, 2019 - Race 3

STAKES Real Good Deal S. Black Type - Thoroughbred

FOR GOLDEN STATE SERIES ELIGIBLE CALIFORNIA BRED OR CALIFORNIA SIRE THREE-YEAR-OLDS. By subscription of \$150 each, which shall accompany the nomination, closed with 14, or by supplementary nomination of \$1,500 each (non-Golden State Series eligible horses, \$25,000 to supplement -- which will make that horse eligible to all future Golden State Series Stakes) by closing time of entries. All horses to pay \$2,250 additional to start, with \$150,000 Guaranteed, of which \$85,500 to first, \$28,500 to second, \$18,000 to third, \$9,000 to fourth, \$6,000 to fifth, \$3,000 to sixth. Weight 123 lbs.; Non-winners of \$30,000 twice allowed 3 lbs.; of \$20,000 since March 1, 5 lbs. (Maiden and claiming races not considered.) Grade I winners to carry 127 lbs. Grade II winners to carry 125 lbs. (regardless of allowances) A trophy will be presented to the winning owner. (S)

Seven Furlongs On The Dirt Track Record: (Solar Launch - 1:20.00 - August 10, 1990)

Purse: \$150,000 Guaranteed

Available Money: \$150,000

Value of Race: \$147,000 1st \$85,500, 2nd \$28,500, 3rd \$18,000, 4th \$9,000, 5th \$6,000

Weather: Clear Track: Fast

Off at: 3:05 Start: Good for all

EQUIBASE



Video Race Replay

Last Raced	Pgm	Horse Name (Jockey)	Wgt	M/E	PP	Start	1/4	1/2	Str	Fin	Odds	Comments
22Jun19 6SA ³	1	Lieutenant Dan (Van Dyke, Drayden)	120	L	1	4	2 ¹ 1/2	2 ¹ 1/2	2 ¹ 1/2	1 ³	2.20	inside duel, cleared
22Jun19 6SA ⁶	4	Oliver (Garcia, Martin)	118	L b	4	3	4 ¹	4 ¹ 1/2	3 ⁶	2 ^{Neck}	26.40	3wd into str, late 2nd
22Jun19 6SA ⁴	2	Cruel Intention (Talamo, Joseph)	118	L b	2	2	1 ^{Head}	1 ^{Head}	1 ^{Head}	3 ¹³	0.70*	dueled, lost 2nd late
22Jun19 5SA ¹	5	Posterize (Prat, Flavien)	120	L b	5	1	3 ^{Head}	3 ^{Head}	4 ³ 1/2	4 ⁴ 1/2	6.70	stalked 3wd, gave way
13Jul19 7LRC ¹	3	Prodigal Son (Gutierrez, Mario)	120	L b	3	5	5	5	5	5	6.70	saved ground, gave way

Fractional Times: 21.91 44.62 1:10.28

Split Times: (22:71) (25:66) (13:39)

Run-Up: 45 feet

Final Time: 1:23.67

Winner: Lieutenant Dan, Bay Gelding, by Grazen out of Excusabull, by Indian Charlie. Foaled Feb 21, 2016 in California.

Breeder: Nick Alexander.

Winning Owner: Nicholas B. Alexander

Total WPS Pool: \$336,046

Pgm	Horse	Win	Place	Show	Wager Type	Winning Numbers	Payoff	Pool
1	Lieutenant Dan	6.40	4.00	2.20	\$1.00 Exacta	1-4	40.00	120,846
4	Oliver		14.40	3.20	\$2.00 Quinella	1-4	72.40	9,679
2	Cruel Intention			2.10	\$0.50 Trifecta	1-4-2	48.95	91,922
					\$2.00 Daily Double	3-1	43.00	33,855
					\$0.50 Pick 3	2-3-1 (3 correct)	19.30	70,813

Past Performance Running Line Preview

Pgm	Horse Name	Start	1/4	1/2	Str	Fin
1	Lieutenant Dan	4	2 ^{Head}	2 ^{Head}	2 ^{Head}	1 ³
4	Oliver	3	4 ¹ 3/4	4 ¹ 3/4	3 ¹ 1/2	2 ³
2	Cruel Intention	2	1 ^{Head}	1 ^{Head}	1 ^{Head}	3 ³ 1/4
5	Posterize	1	3 ¹ 1/2	3 ¹ 1/2	4 ⁷ 1/2	4 ¹⁶ 1/4
3	Prodigal Son	5	5 ² 3/4	5 ² 1/4	5 ¹¹	5 ²⁰ 3/4

Trainers: 1 - Miyadi, Steven; 4 - O'Neill, Doug; 2 - Baffert, Bob; 5 - Kruljac, Ian; 3 - O'Neill, Doug

Owners: 1 - Nicholas B. Alexander; 4 - William R. Peeples; 2 - Jungle Racing LLC, LNJ Foxwoods and Nexus Racing Club; 5 - Seltzer Thoroughbreds; 3 - Reddam Racing LLC;

Footnotes

LIEUTENANT DAN drifted out early and dueled inside a rival but off the rail, angled to the inside on the turn, fought back in the stretch, gained the lead and edged away under urging past the eighth pole and won clear. OLIVER stalked between horses then off the rail on the turn, came out three deep into the stretch and edged a rival late for the place. CRUEL INTENTION had good early speed and dueled outside the winner, angled in alongside that one on the turn and in the stretch, was not a match in the final furlong and lost second late. POSTERIZE stalked three deep then outside the runner-up on the turn, came three wide into the stretch and gave way. PRODIGAL SON angled in and saved ground stalking the pace, dropped back on the turn, came out into the stretch and had little left for the drive.

CALIFORNIA HORSE RACING BOARD

**M e m o r a n d u m**

Date : August 6, 2019
To : Rick Baedeker
Executive Director
From : Deborah Dugally
Split Sample Custodian
Subject : CHRB Official Test Sample # DM15121

The official blood sample # DM15121 taken on July 27, 2019 at Del Mar was reported by Ben Moeller, PhD of UC Davis Laboratories to contain Phenylbutazone. This sample was obtained from the horse Cruel Intention which ran in the 3rd race at Del Mar finishing 3rd. The trainer of record is Bob Baffert.

The undersigned have reviewed the laboratory report and subject to CHRB Regulations, this sample # DM15121 is considered to be a prohibited drug substance. 4/C

The CHRB Investigations Unit is advised to proceed with its investigative process, and offer the owner / trainer the opportunity to have the corresponding split sample tested at a CHRB approved split sample laboratory.

**Rick M
Arthur
DVM**

Digitally signed by
Rick M Arthur DVM
DN: cn=Rick M Arthur
DVM, o, ou,
email=rmarthur@ucda
vis.edu, c=US
Date: 2019.08.06
15:23:47 -07'00'

Rick Arthur, D.V.M.
Equine Medical Director


Rick Baedeker
Executive Director, CHRB

1010 Hurley Way, Suite 300, Sacramento, CA 95825

Phone: (916) 263-6000 □ FAX: (916) 263-6042



CALIFORNIA ANIMAL HEALTH & FOOD SAFETY
LABORATORY SYSTEM
P O BOX 1770
DAVIS, CALIFORNIA 95617

PHONE: (530) 752-8700
FAX: (530) 752-6253

Aug 5, 2019

Certificate of Analysis: CA-POS-015424

CHRB
CALIFORNIA HORSE RACING BOARD
1010 HURLEY WAY
SUITE 300
SACRAMENTO, CA 95825

Laboratory Number: 190730-391886
Sample Number: DM15121
Delivery Date Jul 30, 2019
Sample Date Jul 27, 2019
Racetrack Del Mar

Attention: Deborah Dugally

Blood sample number DM15121 taken on Jul 27, 2019 at Del Mar was found to contain Phenylbutazone.

Detection, identification and confirmation were performed utilizing liquid chromatography - mass spectrometry

Respectfully,
Equine Analytical Chemistry Laboratory

Ben Moeller, PhD
Assistant Professor

Equine Analytical Chemistry Laboratory
ISO/IEC 17025:2005
Testing Laboratory - Certificate #2205-01



REQUEST FOR SPLIT SAMPLE ANALYSIS

Laboratory response is due by _____



DATE OF REQUEST:			
RACING AUTHORITY:	California Horse Racing Board (#DM15121)		
RACING AUTHORITY CONTACT:	Name: Deborah Dugally e-mail: dldugally@chrb.ca.gov		
DRUG / ANALYTE FOR ANALYSIS:	Phenylbutazone		
ANALYSIS REQUESTED:	Qualitative (no concentration reported) <input checked="" type="checkbox"/>	Quantitative (estimated concentration reported) <input type="checkbox"/>	
REGULATORY THRESHOLD: (If applicable)	CHRB no longer has an authorized threshold for Phenylbutazone.		
ESTIMATED CONCENTRATION:	510 ng/mL		
MATRIX TO BE ANALYZED: (Indicate all to be submitted for analysis)	Blood-Plasma <input type="checkbox"/>	Blood-Serum <input checked="" type="checkbox"/>	Urine <input type="checkbox"/> Other _____
HYDROLYSIS USED IN PRIMARY ANALYSIS:	YES <input checked="" type="checkbox"/>		NO <input type="checkbox"/>
SAMPLE CONDITION:	Refrigerated <input checked="" type="checkbox"/>	Frozen <input type="checkbox"/>	Other _____
SAMPLE AGE: (interval post-collection)	< 60 days <input checked="" type="checkbox"/>	61-120 days <input type="checkbox"/>	>120 days <input type="checkbox"/>
PARTY RESPONSIBLE FOR PAYMENT:	Trainer / Owner <input checked="" type="checkbox"/>	Racing Authority <input type="checkbox"/>	Other _____

TO BE COMPLETED BY RESPONDING LABORATORY

LABORATORY:			
LABORATORY CONTACT:	Name: e-mail:		
LABORATORY RESPONSE:	Decline	AGREE	DECLINE
Justification, if declined:	TO PERFORM REQUESTED ANALYSIS		

TO BE COMPLETED BY LABORATORIES AGREEING TO PERFORM ANALYSIS:

PRICING AND REMITTANCE INSTRUCTIONS:		
PROJECTED TURN-AROUND-TIME:		
SAMPLE VOLUME REQUIRED:	_____ mls serum/plasma	_____ mls urine
SHIPPING ADDRESS AND INSTRUCTIONS:		



CALIFORNIA ANIMAL HEALTH & FOOD SAFETY
LABORATORY SYSTEM
P.O. BOX 1770
DAVIS, CALIFORNIA 95617

PHONE: (530) 752-8700
FAX: (530) 752-6253

Final Report

CHRB
CALIFORNIA HORSE RACING BOARD
1010 HURLEY WAY
SUITE 300
SACRAMENTO, CA 95825

Sample Delivery Group CHRB-190730-4
Delivery Date 2019-07-30
Sample Date 2019-07-27
Number of Samples 20
Racetrack Del Mar
Veterinarian Tim Grande

External Reference	Sample Type	Screen Result	Lasix	Blood Results	External Reference
** DM15114	Blood & Urine	Pass	X	PBZ <LOQ	DM15114
** DM15115	Blood & Urine	Pass	X	PBZ <LOQ	DM15115
** DM15116	Blood & Urine	Pass	X	PBZ <LOQ	DM15116
** DM15117	Blood & Urine	Pass	X	PBZ <LOQ	DM15117
** DM15118	Blood & Urine	Pass	X	PBZ <LOQ	DM15118
** DM15119	Blood & Urine	Pass	X	PBZ <LOQ	DM15119
** DM15120	Blood & Urine	Pass	X	PBZ <LOQ	DM15120
** DM15121	Blood & Urine	Fail	X	PBZ 0.51	DM15121
** DM15122	Blood & Urine	Pass	X	PBZ <LOQ	DM15122
DM15123	Blood & Urine	Pass	X		DM15123
** DM15124	Blood & Urine	Pass	X	PBZ <LOQ	DM15124
** DM15125	Blood	Pass		PBZ <LOQ	DM15125
** DM15126	Blood & Urine	Pass	X	PBZ <LOQ	DM15126
** DM15127	Blood & Urine	Pass	X	PBZ <LOQ	DM15127
** DM15128	Blood & Urine	Pass	X	PBZ <LOQ	DM15128
** DM15129	Blood & Urine	Pass	X	PBZ <LOQ	DM15129
** DM15130	Blood & Urine	Pass	X	PBZ <LOQ	DM15130
** DM15131	Blood & Urine	Pass	X	PBZ <LOQ	DM15131
** DM15132	Blood & Urine	Pass	X	PBZ <LOQ	DM15132
** DM15133	Blood & Urine	Pass	X	PBZ <LOQ	DM15133

Approved by
Chief Chemist

** Denotes a discrepancy of the Reported Medications

2019-08-05

Page 1 of 1

Equine Analytical Chemistry Laboratory
ISO/IEC 17025:2005
Testing Laboratory - Certificate #2205-01



STATE OF CALIFORNIA
CALIFORNIA HORSE RACING BOARD
OFFICIAL VETERINARIAN'S REPORT
CHRB-37 (REV. 6-98)

DATE: July/27/2019

9

DAY OF MEET AT

Del Mar

RACE TRACK

SAMPLE NO.	HORSE	RACE	FINISH	TRAINER
DM15114	WRECKING CREW	1 L	1	PETER MILLER
DM15115	TIZAMAGICIAN	1 L	2	RICHARD MANDELLA
DM15116	PALACE PRINCE	1 L	3	DAN WARD
DM15117	MOODY JIM (IRE)	2 L	1	JEFF MULLINS
DM15118	CONTE CAVOUR	2 L	8	JAVIER JOSE SIERRA
DM15119	LIEUTENANT DAN	3 L	1	STEVEN MIYADI
DM15120	OLIVER	3 L	2	DOUG O'NEILL
DM15121	CRUEL INTENTION	3 L	3	BOB BAFFERT
DM15122	HACKBERRY	4 L	1	DAN BLACKER
DM15123	DON'TTEASETHETIGER	5 L	1	BRIAN KORINER
DM15124	CALDER VALE	5 L	3	RICARDO ZAMORA
DM15125	LORELEI'S WARRIOR	5 -	7	KELLY H. CASTANEDA
DM15126	FASHIONABLY FAST	6 L	1	DEAN PEDERSON
DM15127	CLEM LABINE	6 L	2	STEVEN MIYADI
DM15128	GREAT RETURN	7 L	1	RICHARD MANDELLA
DM15129	VELVET QUEEN	7 L	9	RICHARD BALTAS
DM15130	CISTRON	8 L	1	JOHN SADLER
DM15131	DESERT LAW	8 L	2	CARLA GAINES
DM15132	JALEN JOURNEY	8 L	3	PETER MILLER
DM15133	A THOUSAND DREAMS	9 L	1	DOUG O'NEILL

REMARKS:
DM15130, DM15133 - Hair Samples.

Wally E. Ford
OFFICIAL VETERINARIAN

TEST SAMPLE SHIPPING INVOICE

BLOOD AND
Urine samples included:

1. ☐ DM15101
☒ DM15102 BLOOD ONLY
☒ DM15103 BLOOD ONLY
☐ DM15104
☐ DM15105
☐ DM15106
☐ DM15107
☐ DM15108
☐ DM15109
☐ DM15110

2. ☐ DM15111
☒ DM15112 BLOOD ONLY
☒ DM15113 BLOOD ONLY
☐ DM15114
☐ DM15115
☐ DM15116
☐ DM15117
☐ DM15118
☐ DM15119
☐ DM15120

3. ☐ DM15121
☐ DM15122
☐ DM15123
☐ DM15124
☒ DM15125 BLOOD ONLY
☒ DM15126
☐ DM15127
☐ DM15128
☐ DM15129
☐ DM15130

4. ☐ DM15131
☐ DM15132
☐ DM15133
☐ DM15134
☐ DM15135
☐ DM15136
☐ DM15137
☐ DM15138
☐ DM15139
☐ DM15140

REMARKS: HORSEMEN'S SPLITS

Page 2 of 3

SHIPPED FROM: Del Mar
Sergio Chavez RACE TRACK
PACKED BY: #10770
SECURITY TAG NO.:

FOR: Wednesday - Sunday
DAY OF WEEK
7/24/2019 - 7/28/2019
DATE

STATE OF CALIFORNIA
CALIFORNIA HORSE RACING BOARD
ACKNOWLEDGEMENT OF TEST SAMPLE

2

I acknowledge that I was present when a urine and blood sample was taken from

Horse Cruel intention / Bob Baffert

Name of Horse

Tattoo Number T09165

Taken on 7/27/2019

Date

At Del Mar

Race Track Name

DM15121

registered in my presence as number

Sample was

1 L
2 L

Signature of Witness

Roberto Garcia Luna

209095 ROBERTO GARCIA LUNA (19)

License Number

Signature of Assistant to Veterinarian

Therese Jj

3:12 PM

Time of Arrival

4:00 PM

Sample Obtained

AFFIX SAMPLE LABEL ON REVERSE. SUBMIT WITH OFFICIAL VETERINARIAN REPORT.

CHRB - 14 (Rev. 4/89)

3 Bloods 3:55 PM



OSP 07 101408

CALIFORNIA HORSE RACING BOARD

1010 Hurley Way, Suite 300
Sacramento, CA 95825
www.chrb.ca.gov
(916) 263-6000 Fax (916) 263-6042

**POSITIVE TEST NOTIFICATION**

Date: 7/27/2019

I, ROBERT BAFFERT (Owner/Trainer) acknowledge that I have been confidentially notified of a positive test finding for PHENYLBUTAZONE in the California Horse Racing Board (CHRB) official test sample # DM15121 from the horse "CRUEL INTENTION" on the above date by CHRB Investigator _____.

I understand that I have 72 hours from this notification to complete a Request to Release Evidence (CHRB-56), requesting a split sample analysis from the CHRB approved laboratory.

I further understand that to request the split sample be tested, I must contact a CHRB approved laboratory from the list provided and arrange to make payment by money order or cashier's check (no other form of payment is acceptable) for said testing within 72 hours of this notification. I also agree to send the payment to the respective laboratory by overnight mail, and to submit thirty-five dollars (\$35.00) to the CHRB for split sample processing fees.

I also understand that the CHRB approved laboratory selected is not obligated to accept my sample. In the event that the laboratory selected does not accept my sample, I will have 72 hours to select another laboratory from the CHRB approved list.

Failure to comply with the above instructions will result in the forfeiture of my rights to have the split sample tested. I also understand that if no split sample analysis is requested within 72 hours after this notification, a hearing on this matter will proceed forthwith.

I acknowledge that I have read and understand the above instructions.

Robert Baffert
(Owner/Trainer)

A. Merz
(CHRB Representative)

8-8-19
(Date)

11:15 A.M.
(Time)

Original: CHRB

Duplicate: Horseman

(REV. 07-25-2013 dat)

STATE OF CALIFORNIA
REQUEST TO RELEASE EVIDENCE
CHRB-56 (Rev. 5/97)

CALIFORNIA HORSE RACING BOARD
1010 Hurley Way, Suite 300
Sacramento, CA 95825

REQUEST TO RELEASE EVIDENCE
(CONFIDENTIAL)

To: CALIFORNIA HORSE RACING BOARD
Attn: SPLIT SAMPLE PROGRAM
1010 Hurley Way, Suite 300
Sacramento, CA 95825

I am requesting the California Horse Racing Board (CHRB) to release to a Board approved laboratory the **blood** split sample identified as # **DM15121** which has been detected to contain **Phenylbutazone**. I fully understand that I am responsible for all costs incurred by the transporting and testing of split sample identified as # **DM15121** to the laboratory I have chosen:

Texas Veterinary Medical Diagnostic Laboratory
Laboratory Name and Address

I have enclosed payment of \$ **35.00** to cover costs of materials, packing, shipping, and handling.

I have selected and will make payment to the designated laboratory named above, to test the split sample.

I understand that verification of payment for all shipping and laboratory fees must be received by the CHRB within five (5) working days from the date of this form. If such verification of payment is not received, I understand that the split sample will not be released or shipped to the above laboratory and a hearing will be held based on the original confirmation report from the official testing laboratory.

[Signature]
Owner/Trainer Signature

8-7-17
Date

[Redacted]
Telephone No.

Original: Laboratory
Duplicate: California Horse Racing Board

HOLD TO LIGHT TO VIEW TRUE WATERMARK IN PAPER - HEAT SENSITIVE RED LOCK DISAPPEARS WHEN HEATED



BOB BAFFERT RACING STABLES, INC.

Wells Fargo Bank, N.A.
California

16-24/1220

20683



8-9-19

PAY TO THE
ORDER OF

CHRB

\$ 35.00

THIRTY FIVE

DOLLARS

MEMO



[Signature]

AUTHORIZED SIGNATURE

Security features. Details on back.

FD

CALIFORNIA HORSE RACING BOARD



M e m o r a n d u m

Date : September 5, 2019

To : Commissioners
California Horse Racing Board

From : Rick Baedeker
Executive Director

Subject : Split Sample of the CHRB Official Test Sample # DM15121

Subject to CHRB Regulation 1859.25, the blood split sample # DM15121 has been tested at the request of the owner and/or trainer by the CHRB approved laboratory Texas Veterinary Medical Diagnostic Laboratory. The laboratory analysis has confirmed the original finding of the drug substance Phenylbutazone, as reported by CHRB's official laboratory. The sample was collected from the horse Cruel Intention which ran in the 3rd race at Del Mar on July 27, 2019 and finished 3rd. The trainer of record was Bob Baffert. The CHRB Investigations Unit will issue a complaint and a hearing will be scheduled.

1010 Hurley Way, Suite 300, Sacramento, CA 95825

Phone: (916) 263-6000 • FAX: (916) 263-6042

COLLEGE STATION LABORATORY



POSITIVE LETTER

September 5, 2019

California Horse Racing Board
1010 Hurley Way
Suite 300
Sacramento, CA 95825
916-263-6000

RE: #DM15121

Received: August 27, 2019
Laboratory No.: C192390626
Sample No.: DM15121

INVESTIGATION: Phenylbutazone in serum

The serum sample submitted was received in good condition. Testing began on August 28, 2019.

The sample was subjected to instrumental analysis for the presence of Phenylbutazone. Detection, identification, and quantitation were performed utilizing liquid chromatography – tandem mass spectrometry (LC-MS/MS). Phenylbutazone was detected in the sample by LC-MS/MS at a concentration of 380 ± 90 nanograms per milliliter. No further testing has been assigned.

The remainder of the sample will be stored frozen and disposed of after 1 year. Records associated with this case will be disposed of after 2 years.

If you have any questions or require additional information, please don't hesitate to contact me.

Sincerely,

A handwritten signature in black ink, appearing to read "Travis Mays", is written over a horizontal line.

Travis Mays, MS, PhD
Section Head
Analytical Chemistry
(Drug Testing & Toxicology)
TVMDL

PO Drawer 3040
College Station, TX 77841-3040

483 Agronomy Rd, TAMU 4471
College Station, TX 77840-4471

Tel. 979.845.3414
Fax. 979.458.3260
<http://tvmdl.tamu.edu>

VETERINARIAN REPORT
CONFIDENTIAL

Kelvin Gallivan
Veteran's Signature

Date 7-27-19
Race Truck Del Mar

Scanned with CamScanner

No.	Name of Horse	Name of Trainer	Time	Medication Administered, Prescribed or Dispensed	Check If Racing Today
1					
2					
3					
4	Camel Intention	Baffert	10:53	Lasix	
5					
6					
7					
8					
9					
10					
11					
12					
13					
14				Lasix	

[Signature]
Veterinarian Signature

VETERINARIAN REPORT

CONFIDENTIAL

Date _____

Race Track

7/25/19

~~SENTA BOSTON~~
Track
DEL MAR

No.	Name of Horse	Name of Trainer	Time	Medication Administered, Prescribed or Dispensed	Check If Racing Today
	Crew Induction	Baker	9:30	Bute, IM AQHA	

CHRB-24 (Rev. 01/16)

Vicent
Veterinarian Signature

Veterinarian Signature

Date _____

Race Track

Name of Horse

3	CRUEL INTENTION
---	-----------------

Name of Trainee: BAFFERT

Buse

**Check If
Racing Today**

**Check If
Racing Today**

CHRB-24 (Rev. 01/16)



State of California
California Horse Racing Board

**VIOLATION
OF
1844 (c)**

DATE:
7/27/2019

SAMPLE NUMBER:
DM15121

TRACK:
Del Mar

INVESTIGATOR:
Merz

CHRB-210 (09/17)

TRAINER: ROBERT BAFFERT	LICENSE NUMBER: 087698 - 01/2022		
OWNER: LNJ FOXWOODS/JUNGLE RACING/NEXUS RACING	LICENSE NUMBER:		
ATTENDING VETERINARIAN: Baker, Vince <small>(The veterinarian who administered or prescribed the NSAID involved in the violation)</small>	LICENSE NUMBER:		
HORSE: CRUEL INTENTION	RACE: 3RD	DATE: 7/27/2019	FINISH: 3RD

VIOLATION

<input type="checkbox"/> 1844 (c): MULTIPLE APPROVED NSAID'S:					
Phenylbutazone(P):	mcg/ml.	Flunixin(F):	ng/ml.	Ketoprofen(K):	ng/ml.
<input checked="" type="checkbox"/> 1844 (c) (1) Phenylbutazone in excess of 2 mcg/ml: 510 ng/ml.					
<input type="checkbox"/> 1844 (c) (2) Flunixin in excess of 20 ng/ml: ng/ml.					
<input type="checkbox"/> 1844 (c) (3) Ketoprofen in excess of 2 ng/ml: ng/ml.					

SPLIT SAMPLE:			
<input type="checkbox"/> DECLINED	<input type="checkbox"/> NOT REQUESTED	<input checked="" type="checkbox"/> REQUESTED	DATE: 8/9/19
SPLIT SAMPLE LAB: TEXAS VETER. MEDICAL DIAGNOSTIC LAB		SPLIT SAMPLE RESULTS: PHENYLBUTAZONE WAS DETECTED - 380 ± 90 -	

PREVIOUS VIOLATIONS:	Nanograms per milliliter
<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO Rule 1844 (c) violations in previous <u>36 months</u> from date of this race?	
<input checked="" type="checkbox"/> NONE <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> MORE Rule 1844 (c) violations in previous <u>12 months</u> from date of this race?	

#1	DATE: 10-22-17	NSAID: <input checked="" type="checkbox"/> P <input type="checkbox"/> F <input type="checkbox"/> K	REPORTED LEVEL: 3.19 ug/ml	PENALTY: Fine - \$1,000.00
#2	DATE:	NSAID: <input type="checkbox"/> P <input type="checkbox"/> F <input type="checkbox"/> K	REPORTED LEVEL:	PENALTY:
#3	DATE:	NSAID: <input type="checkbox"/> P <input type="checkbox"/> F <input type="checkbox"/> K	REPORTED LEVEL:	PENALTY:
#4	DATE:	NSAID: <input type="checkbox"/> P <input type="checkbox"/> F <input type="checkbox"/> K	REPORTED LEVEL:	PENALTY:

1844 (d): If the official chemist reports that a blood test sample contains an authorized NSAID in excess of the limit for that drug substance under this rule, the Official Veterinarian shall, in conjunction with the Veterinarian who administered or prescribed the authorized drug substance, establish a dosage amount or time of administration of the drug substance that will comply with the limits under this rule; or the Official Veterinarian may, if in his/her judgment no such reduced dosage amount or amendment to time of administration will result in a test sample level within the limits of this rule, withdraw authorization for the use of any one NSAID.

OFFICIAL VETERINARIAN: Timothy Grados, DVM

*DATE: 09/14/19

*(Date submitted to Official Veterinarian)

In accordance to Rule 1844(d), I have discussed the case with, the attending Veterinarian who administered or prescribed the NSAID to the horse in this violation.

I am ☒ satisfied ☐ not satisfied, that corrective action will be taken to avoid future violations.

I have discussed the case with the trainer.

I am ☒ satisfied ☐ not satisfied, that corrective action will be taken to avoid future violations.

I ☐ have ☒ have not, made a recommendation as to dose and route of administration.

I ☐ have ☒ have not, withdrawn authorization for the trainer/horse to use Phenylbutazone, Flunixin, or Ketoprofen.

I make the following recommendations or comments on this case:

per attending veterinarian Dr. Virginia Baker

- treats all runners in B Baffert barn w/ 2g IV phenylbutazone no later than 10:30am 2 days before race

per asst trainer Jim Barnes:

- have Bute pills in barn, kept in secured/locked area
 - typically used for pain management for post-surgical cases
 - not used for training
- all Bute pre-race done by attending veterinarians (IV administration) due to previous problems using oral phenylbutazone
- has no credible theory about how horse tested w/ an overage of Bute

OFFICIAL VETERINARIAN:

(SIGNATURE)

L. J. H., DVM

DATE:

10/08/19

Track: SANTA ANITA

Bute List for racing on: 10.13.17

[illegible]

**DEFENDANT'S
EXHIBIT**

4

83DF013

Licensee/Rulings Inquiry

RBMERZ 08/16/2019

Name: BAFFERT, ROBERT ANTHONY "BOB"

DOB: [REDACTED] ID No./State:

CA FPrint: V SSN:

Home: [REDACTED] Bus: [REDACTED]

--- License Information ---

License#	MM/YYYY	DV	CLS	SPC	Status	Fee	Sticker	Renewal	Issued	On Since	NO Photo ID
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	12/28/2018	01/21/1986	

Total WARNINGS on Excessive Permitted Medication IS: 2 In past 90 days: 0

--- 117 Rulings on file --- 57 cases on file ---

Date	Tck/RL#	Text of Ruling	Penalty	Entered
08/10/19	DMTD000	19DM0023/BARN INSPECTION	NO VIOLATION	08/10/19
06/26/19	INSLAQL	PTSIG SP4060751 EXP 010121		06/26/19
12/20/18	INSLAQL	PTSIG 2018EPP00471 EXP 070119		12/20/18
05/08/18	LATS000	18SA0111/ BARN INSPECTION	NO VIOLATIONS	05/08/18
12/28/17	INSLAQL	PTSIG 2018EPP00442 EXP 010119		12/28/17
10/22/17	LATC028	17LT0003/1844C1/BUTE 3.19UG/ML FINED \$1,000	PAID	10/25/17

Press ENTER to continue or enter next option: ___ (M=Main menu, X=exit)
 or, enter License Number for License Inquiry: _____

PR0901a

Licensee/Rulings Inquiry

RBMERZ

08/16/2019

Name: BAFFERT, ROBERT ANTHONY "BOB"

DOB: [REDACTED] ID No./State:

CA FPrint: V

SSN:

Home: [REDACTED]

Bus: [REDACTED]

--- License Information ---

License#-MM/YYYY	DV	CLS	SPC	Status	Fee	Sticker	Renewal	Issued	NO Photo ID	On Since
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	12/28/2018		01/21/1986

Total WARNINGS on Excessive Permitted Medication IS: 2 In past 90 days: 0

--- 117 Rulings on file --- 57 cases on file ---

Date	Trk/RL#	Text of Ruling	Penalty	Entered
06/25/17	LATS000	17SA180/ BARN INSPECTION	NO VIOLATIONS	06/25/17
01/15/17	LATS030	16SA243/PHENYLBUTAZONE(2.69)	\$250 FINE PAID	01/16/17
01/12/17	LAQL000	17LA0015/BARN INSPECTION	NO VIOLATION	01/13/17
12/21/16	INSLAQL	PTSIG 2016EPP00425 EXP 010118		12/21/16
11/02/16	LATC000	16SA252/ BARN INSPECTION	NO VIOLATIONS	11/02/16
03/25/16	LAQL000	16LA0100/BARN INSPECTION	NO VIOLATION	03/25/16

Press ENTER to continue or enter next option: (M=Main menu, X=exit)

or, enter License Number for License Inquiry: _____

NAME: BAFFERT, ROBERT ANTHONY " SSN:
 LICENSE: 087698-0122 CLASS: C (TRAINER)
 CASE FILE# 16SA0243 CHRIS CASE# CASE STATUS C
 CASE TYPE M (COMPLAINT - MISCELLANEOUS)
 PRE-HRG ACTION: F (CASE FINAL)
 FILING DATE: 12/31/2016 HEARING DATE: 01/15/2017 HEARING TIME:
 SENT TO COMM: 01/16/2017 EFF. DATE: 01/16/2017 SENT TO RESPONDENT: 01/16/2017
 SENT TO D.A.: INVESTIGATOR: JIM HAMILTON

CASE DETAILS AND DECISION .6 LINES TOTAL LINES 1 THROUGH 10
 < 16SA243/ON OCTOBER 23RD, 2016 THE HORSE "AMERICAN GAL" TRAINED BY BOB >
 < BAFFERT FINISHED 1ST IN THE 3RD RACE AT SANTA ANITA RACE TRACK.POST RACE >
 < SAMPLE # SA 23821 WAS ANALYZED BY U.C. DAVIS LAB AND WAS FOUND TO CONTAIN >
 < THE CLASS 4 DRUG "PHENYLBUTAZONE" (2.69 UG/ML).SPLIT SAMPLE SENT TO >
 < TRUESDAIL LAB. LAB REPORT FROM TRUESDAIL RECEIVED ON 12/22/16.LEVEL >
 < REPORTED AT 2.57 UG/ML. RULING LATS #030 / \$250.00 FINE >

 Press enter to continue, or enter next OPTION: __ (M=main menu, X=exit)

HRD901A

Name: BAKER, VINCENT ALLAN

Licensee/Rulings Inquiry

RBMERZ

09/08/2019

DOB: [REDACTED] ID No./State:

Home:

CA FPrint: V SSN:

Bus: [REDACTED]

7142691301 CELL

--- License Information ---

Licen#-MM/YYYY DV CLS SPC Status Fee

Renewal Issued On Since
08/30/2018 08/03/1989

License Number: [REDACTED] Expires: 08/31/2020

--- 50 Rulings on file --- 50 cases on file ---

Date	Trk/RL#	Text of Ruling	Penalty	Entered
09/01/16	DMTD000	16DM0064/1845E/SYRINGE COLLECT	NO VIOLATIONS	09/02/16
07/13/16	LATS000	16SA0155/1845E/SYRINGE COLLECT	NO VIOLATIONS	07/13/16
07/12/16	LATS000	16SA0162/1845E/SYRINGE COLLECT	NO VIOLATIONS	07/13/16
07/11/16	LATS000	16SA0160/1845E/SYRINGE COLLECT	NO VIOLATIONS	07/13/16
06/30/16	LATS000	16SA0145/1845E/SYRINGE COLLECT	NO VIOLATIONS	06/30/16
06/23/16	LATS000	16SA0137/1845E/SYRINGE COLLECT	NO VIOLATIONS	06/23/16

Press ENTER to continue or enter next option: (M=Main menu, X=exit)
or, enter License Number for License Inquiry: _____

HORSE NAME: CRUEL INTENTION

DIV: T TATTOO: T09165

DATE	MED.	TRK	COMMENTS
06/22/19	NO NSAID	LATL	
11/03/18	ON BUTE	LATC	
10/13/18	ON BUTE	LATC	
10/10/18	ON LASIX	LATC	EIPH BAFFERT

Press enter to continue or enter next option: __ (M=main menu, X=exit)

HORSE REGISTRY INQUIRY

OWNER: LNJ FOXWOODS

MO: Y TRAINER: BAFFERT, ROBERT ANTHONY "

HORSE: CRUEL INTENTION

CERT #: 1609165 DESCR: B C 2016 CA

SIRE: SMILING TIGER

DAM: PERFECT FEAT

BREEDER: PREMIER TB LLC

DIV: T TATTOO #: T09165 HSC: 00000

INT	---	OWNERS	---	%	INT	LIC #	EXP
*		LNJ FOXWOODS				313574	1219
		JUNGLE RACING, LLC				299007	1219
		NEXUS RACING CLUB				324865	1219

PRESS RETURN TO CONTINUE OR ENTER NEXT OPTION: OR

ENTER OWNER NAME FOR OWNER INQUIRY

OR

ENTER TRAINER NAME FOR TRAINER INQUIRY

(M=MAIN MENU, 1-10=QUERIES, X=EXIT)

880901A
LAW FOXWOODS
DOB:
Home:

Licensee/Rulings Inquiry

RBMERZ 08/10/2019

ID No./State: Unknown

FPrint: N SSN: N/A

Eus:

License Information ---

License#	AN	YY	DD	CLS	SPC	Status	Fee	Sticker	Renewal	Issued	On Since	NO Photo ID
313574	-12/2019	T	S	00	R		300	F31377		12/22/2016	12/17/2013	

--- 2 Rulings on file --- 0 Cases on file ---

Date	Trk/RL#	Text of Ruling	Penalty	Entered
01/07/17	LAQL000	NAT RAC COMPACT APP SUBMITTED		01/07/17
12/17/13	CHLS000	NATIONAL RACING COMPACT		12/17/13

Press ENTER to continue or enter next option: (M=Main menu, X=exit)
or, enter License Number for License Inquiry:

541901

Horse History Inquiry

RBMERZ 08/10/2019

Horse Name: CRUEL INTENTION

Div: T Cert #: 1609165 Tattoo #: T09165

Sex: B C 2016 CA

Sire: SMILING TIGER

Dam: PERFECT FEAT

--- Registry and Medical History ---

Owner: LNJ FOXWOODS

Trainer: BAFFERT, ROBERT ANTHONY "

06/22/19	LATL	
11/03/18	LATC	ON BUTE
10/13/18	LATC	ON BUTE
10/10/18	LATC	EIPH BAFFERT

Press Enter to continue or enter next option: _ (M=main menu, X=exit)

HRD901A

Name: BAFFERT, ROBERT ANTHONY "BOB" Licensee/Rulings Inquiry
DOB: [REDACTED] ID No./State: [REDACTED]
Home: [REDACTED]

RBMERZ 09/15/2019

Bus: [REDACTED] CA FPrint: V [REDACTED] SSN: [REDACTED]

Licen#-MM/YYYY DV CIG [REDACTED] --- License Information ---

Renewal Issued NO Photo ID
12/28/2018 On Since
01/21/1986

Total WARNINGS on Excessive Permitted Medication IS: 2 In past 90 days: 0
--- 118 Rulings on file --- 58 cases on file ---

Date	Trk/RL#	Text of Ruling	Penalty	Entered
08/17/19	DMTD000	19DM0036/1929/BARN INSPECTION	NO VIOLATIONS	08/17/19
08/10/19	DMTD000	19DM0023/BARN INSPECTION	NO VIOLATION	08/10/19
06/26/19	INSLAQL	PTSIG SP4060751 EXP 010121		06/26/19
12/20/18	INSLAQL	PTSIG 2018EPP00471 EXP 070119		12/20/18
05/08/18	LATS000	18SA0111/ BARN INSPECTION	NO VIOLATIONS	05/08/18
12/28/17	INSLAQL	PTSIG 2018EPP00442 EXP 010119		12/28/17

Press ENTER to continue or enter next option: _____ (M=Main menu, X=exit)
or, enter License Number for License Inquiry: _____

HRD901A

Name: BAFFERT, ROBERT ANTHONY "BOB"
DOB: [REDACTED] ID No./State:
Home: [REDACTED]

Licensee/Rulings Inquiry

RBMERZ 09/15/2019

Bus: [REDACTED] CA FPrint: V SSN: [REDACTED]

License Information
Licen#-MM/YYYY DV CLS SPC Stat [REDACTED]

Renewal Issued NO Photo ID
12/28/2018 On Since 01/21/1986

Total WARNINGS on Excessive Permitted Medication IS: 2 In past 90 days: 0
--- 118 Rulings on file --- 58 cases on file ---

Date	Trk/RL#	Text of Ruling	Penalty	Entered
10/22/17	LATC028	17LT0003/1844C1/BUTE 3.19UG/ML	FINED \$1,000	PAID 10/25/17
06/25/17	LATS000	17SA180/ BARN INSPECTION	NO VIOLATIONS	06/25/17
01/15/17	LATS030	16SA243/PHENYLBUTAZONE(2.69)	\$250 FINE	PAID 01/16/17
01/12/17	LAQL000	17LA0015/BARN INSPECTION	NO VIOLATION	01/13/17
12/21/16	INSLAQL	PTSIG 2016EPP00425 EXP 010118	NO VIOLATIONS	12/21/16
11/02/16	LATC000	16SA252/ BARN INSPECTION	NO VIOLATIONS	11/02/16

Press ENTER to continue or enter next option: (M=Main menu, X=exit)
or, enter License Number for License Inquiry: _____

HRD901A

Name: BAFFERT, ROBERT ANTHONY "BOB"
DOB: [REDACTED] ID No./State:
Home: [REDACTED]

Licensee/Rulings Inquiry

RBMERZ 09/15/2019

Bus: [REDACTED] CA FPrint: V SSN: [REDACTED]

--- License Information ---
Licen#-MM/YYYY DV CLS SPC Status [REDACTED]

Renewal Issued NO Photo ID
12/28/2018 On Since 01/21/1986

Total WARNINGS on Excessive Permitted Medication IS: 2 In past 90 days: 0
--- 118 Rulings on file --- 58 cases on file ---

Date	Trk/RL#	Text of Ruling	Penalty	Entered
03/25/16	LAQL000	16LA0100/BARN INSPECTION	NO VIOLATION	03/25/16
02/05/16	LATS000	16SA018/PHENYL BUTAZONE (2.56)	WARNING	02/05/16
12/29/15	INSLAQL	FLSIG WC2016EPP329 EXP 010117	NO VIOLATION	12/29/15
04/09/15	LAQL000	15LA0079/BARN INSPECTION	NO VIOLATION	04/09/15
12/30/14	INSLAQL	FLSIG WC215EPP329 EXP 010116	CASE CLOSED	12/30/14
10/10/14	LATC000	14SA234/1692/INSTRUCT JOCKEY		10/10/14

Press ENTER to continue or enter next option: (M=Main menu, X=exit)
or, enter License Number for License Inquiry: _____

HRD901A Licensee/Rulings Inquiry RBMERZ 11/24/2019
Name: BAFFERT, ROBERT ANTHONY "BOB"
DOB: ID No./State: A3058912 CA FPrint: V SSN:
Home: Bus:

--- License Information --- NO Photo ID
Licen#-MM/YYYY DV CLS SPC Status Fee Sticker Renewal Issued On Since
12/28/2018 01/21/1986

***** Has 1 unpaid Ruling Fine(s) *****
--- 119 Rulings on file --- 59 cases on file ---
Date Trk/RL# Text of Ruling Penalty Entered
11/24/19 DFTD016 19DM0022/1843,1887,1843.1/FINE FINED \$500.00 *DUE 11/24/19
08/17/19 DMTD000 19DM0036/1929/BARN INSPECTION NO VIOLATIONS 08/17/19
08/10/19 DMTD000 19DM0023/BARN INSPECTION NO VIOLATION 08/10/19
06/26/19 INSLAQL PTSIG SP4060751 EXP 010121 06/26/19
12/20/18 INSLAQL PTSIG 2018EPP00471 EXP 070119 12/20/18
05/08/18 LATS000 18SA0111/ BARN INSPECTION NO VIOLATIONS 05/08/18

Press ENTER to continue or enter next option: (M=Main menu, X=exit)
or, enter License Number for License Inquiry:

NAME: BAFFERT, ROBERT ANTHONY " SSN: [REDACTED]
LICENSE: 087698-0122 CLASS: C (TRAINER)
CASE FILE# 19DM0022 CHRIS CASE# CASE STATUS C
CASE TYPE M (COMPLAINT - MISCELLANEOUS)

PRE-HRG ACTION: F (CASE FINAL)

FILING DATE: 11/24/2019 HEARING DATE:

HEARING TIME:

SENT TO COMM:

EFF. DATE: 11/24/2019 SENT TO RESPONDENT:

SENT TO D.A.:

INVESTIGATOR: ANGELICA M. MERZ

CASE DETAILS AND DECISION

6 LINES TOTAL

LINES 1 THROUGH 10

< 19DM0022/The official blood sample #DM15121 taken on July 27, 2019, was rep >
< orted by Ben Moeller of the Maddy EACL at UC Davis to contain Phenylbutazon >
< e (510 ng/ML). This sample was obtained from the horse "Cruel Intention" wh >
< ich ran in the 3rd race at Del Mar finishing 3rd. The trainer of record is >
< Robert Baffert. A split analysis at Texas Veterinary Medical Diagnostic Lab >
< oratory confirmed the violation. On 11-16-2019, DFTD#016 Fined \$500.00. >
< >
< >
< >
< >

Press enter to continue, or enter next OPTION: __ (M=main menu, X=exit)